

Form 210A (10/06)

## United States Bankruptcy Court

Southern District Of New York

In re Lehman Brothers Holdings Inc. et al., Debtors,

Case No. 08-13555 (JMP) (Jointly Administered)

### TRANSFER OF CLAIM OTHER THAN FOR SECURITY

A CLAIM HAS BEEN FILED IN THIS CASE or deemed filed under 11 U.S.C. § 1111(a). Transferee hereby gives evidence and notice pursuant to Rule 3001(e)(2), Fed. R. Bankr. P., of the transfer, other than for security, of the claim referenced in this evidence and notice.

Lam Tung Leung

Standard Chartered Bank (Hong Kong) Limited.  
Name of Transferee

Name of Transferor

Name and Address where notices to transferee  
should be sent:

Court Claim # (if known): 388PP

Amount of Claim: US\$ 128444

Date Claim Filed: 1306 2009

21/F, Standard Chartered Tower,  
388 Kwun Tong Road, Hong Kong  
Phone: WM.Invest@sc.com  
Last Four Digits of Acct #: 8881

Phone: 852 - 6870 1281

Last Four Digits of Acct #: 8607

Name and Address where transferee payments  
should be sent (if different from above):

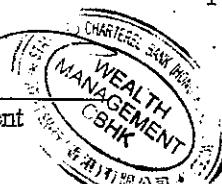
Phone: \_\_\_\_\_  
Last Four Digits of Acct #: \_\_\_\_\_

I declare under penalty of perjury that the information provided in this notice is true and correct to the best of my knowledge and belief.

By: \_\_\_\_\_

Transferee/Transferee's Agent

Date: 4 MAY 2011



*Penalty for making a false statement: Fine of up to \$500,000 or imprisonment for up to 5 years, or both. 18 U.S.C. §§ 152 & 3571.*

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Loh Catherine

Standard Chartered Bank (Hong Kong) Limited.  
Name of Transferee

Name of Transferor

Name and Address where notices to transferee  
should be sent:

Court Claim #: 38155

21/F, Standard Chartered Tower,  
388 Kwun Tong Road, Hong Kong  
Phone: WM.Invest@sc.com  
Last Four Digits of Acct #: 8881

Amount of Claim: 650 64232

Date Claim Filed: 13 Oct 2009

Phone: 91887002  
Last Four Digits of Acct #: 7154

Name and Address where transferee payments  
should be sent (if different from above):

Phone: \_\_\_\_\_  
Last Four Digits of Acct #: \_\_\_\_\_

I declare under penalty of perjury that the information provided in this notice is true and correct to the best of my knowledge and belief.

By: \_\_\_\_\_

Transferee/Transferee's Agent

- 4 MAY 2011

Date: \_\_\_\_\_



*Penalty for making a false statement: Fine of up to \$500,000 or imprisonment for up to 5 years, or both. 18 U.S.C. §§ 152 & 3571.*

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transfer, other than for security, of the claim referenced in this evidence and notice

*Or Kam Hon*

Standard Chartered Bank (Hong Kong) Limited.  
Name of Transferee

Name of Transferor

Name and Address where notices to transferee  
should be sent:

Court Claim # (if known): 38136  
Amount of Claim: 450 80,000  
Date Claim Filed: 13067 2009

21/F, Standard Chartered Tower,  
388 Kwun Tong Road, Hong Kong  
Phone: WM.Invest@sc.com  
Last Four Digits of Acct #: 8881

Phone: 91334525  
Last Four Digits of Acct #: 2528

Name and Address where transferee payments  
should be sent (if different from above):

Phone: \_\_\_\_\_  
Last Four Digits of Acct #: \_\_\_\_\_

I declare under penalty of perjury that the information provided in this notice is true and correct to the  
best of my knowledge and belief.

By: *[Signature]*

Transferee/Transferee's Agent

Date: - 4 MAY 2011



*Penalty for making a false statement: Fine of up to \$500,000 or imprisonment for up to 5 years, or both. 18 U.S.C. §§ 152 & 3571.*

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Standard Chartered Bank (Hong Kong) Limited  
Name of Transferee

Name and Address where notices to transferee  
should be sent:

21/F, Standard Chartered Tower,  
388 Kwun Tong Road, Hong Kong  
Phone: WM.Invest@sc.com  
Last Four Digits of Acct #: 8881

Name and Address where transferee payments  
should be sent (if different from above):

Phone: \_\_\_\_\_  
Last Four Digits of Acct #: \_\_\_\_\_

I declare under penalty of perjury that the information provided in this notice is true and correct to the best of my knowledge and belief.

By: \_\_\_\_\_

Transferee/Transferee's Agent



Date: \_\_\_\_\_

- 4 MAY 2011

*P*enalty for making a false statement: Fine of up to \$500,000 or imprisonment for up to 5 years, or both. 18 U.S.C. §§ 152 & 3571.

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Standard Chartered Bank (Hong Kong) Limited  
Name of Transferee

Name and Address where notices to transferee should be sent:

21/F, Standard Chartered Tower,  
388 Kwun Tong Road, Hong Kong

Phone: WM.Invest@sc.com

Last Four Digits of Acct #: 8881

Name and Address where transferee payments should be sent (if different from above):

Phone: \_\_\_\_\_  
Last Four Digits of Acct #: \_\_\_\_\_

I declare under penalty of perjury that the information provided in this notice is true and correct to the best of my knowledge and belief.

By: \_\_\_\_\_

Transferee/Transferee's Agent

Date: \_\_\_\_\_

- 4 MAY 2011

*(Handwritten signature over declaration and date line)*

*WEALTH MANAGEMENT HK*

*香港財富管理有限公司*

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Han Bing Yam

Standard Chartered Bank (Hong Kong) Limited.  
Name of Transferee

Name of Transferor

Name and Address where notices to transferee should be sent:

Court Claim # (if known): 39504  
Amount of Claim: USD 64232 -  
Date Claim Filed: 13067 2009

21/F, Standard Chartered Tower,  
388 Kwun Tong Road, Hong Kong  
Phone: WM.Invest@sc.com  
Last Four Digits of Acct #: 8881

Phone: (852) 97781239  
Last Four Digits of Acct #: 1325

Name and Address where transferee payments should be sent (if different from above):

Phone: \_\_\_\_\_  
Last Four Digits of Acct #: \_\_\_\_\_

I declare under penalty of perjury that the information provided in this notice is true and correct to the best of my knowledge and belief.

By: *[Signature]*

Date: - 4 MAY 2011

Transferee/Transferee's Agent



*P*enalty for making a false statement: Fine of up to \$500,000 or imprisonment for up to 5 years, or both. 18 U.S.C. §§ 152 & 3571.

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Standard Chartered Bank (Hong Kong) Limited.  
Name of Transferee

Name and Address where notices to transferee should be sent:

21/F, Standard Chartered Tower,  
388 Kwun Tong Road, Hong Kong  
Phone: WM.Invest@sc.com  
Last Four Digits of Acct #: 8881

Name and Address where transferee payments should be sent (if different from above):

Phone: \_\_\_\_\_  
Last Four Digits of Acct #: \_\_\_\_\_

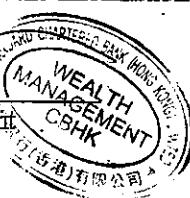
I declare under penalty of perjury that the information provided in this notice is true and correct to the best of my knowledge and belief.

By: \_\_\_\_\_

Transferee/Transferee's Agent

Date: \_\_\_\_\_

- 4 MAY 2011



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Tam chi Minp

Standard Chartered Bank (Hong Kong) Limited.  
Name of Transferee

Name of Transferor

Name and Address where notices to transferee  
should be sent:

Court Claim # (if known): 388f  
Amount of Claim: USD 650.00  
Date Claim Filed: 13072009

21/F, Standard Chartered Tower,  
388 Kwun Tong Road, Hong Kong  
Phone: WM.Invest@sc.com  
Last Four Digits of Acct #: 8881

Phone: 98833863  
Last Four Digits of Acct #: 0568

Name and Address where transferee payments  
should be sent (if different from above):

Phone: \_\_\_\_\_  
Last Four Digits of Acct #: \_\_\_\_\_

I declare under penalty of perjury that the information provided in this notice is true and correct to the best of my knowledge and belief.

By: \_\_\_\_\_

Transferee/Transferee's Agent

Date: \_\_\_\_\_

- 4 MAY 2011

*(Handwritten signature over the declaration area)*

PENNSYLVANIA CHARtered BANK (HONG KONG) LTD.  
WEALTH MANAGEMENT HK  
(香港分行公司)

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Standard Chartered Bank (Hong Kong) Limited  
Name of Transferee

Name and Address where notices to transferee  
should be sent:

21/F, Standard Chartered Tower,  
388 Kwun Tong Road, Hong Kong  
Phone: WM.Invest@sc.com  
Last Four Digits of Acct #: 8881

Name and Address where transferee payments  
should be sent (if different from above):

Phone: \_\_\_\_\_  
Last Four Digits of Acct #: \_\_\_\_\_

WONG MAN WAH

Name of Transferor

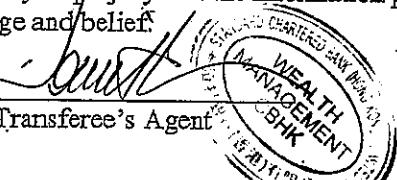
Court Claim # (if known): 48275

Amount of Claim: USD 64,232

Date Claim Filed: 27 OCT 2009

Phone: 9225085  
Last Four Digits of Acct #: 4605

I declare under penalty of perjury that the information provided in this notice is true and correct to the best of my knowledge and belief.

By:   
Transferee/Transferee's Agent

Date: 4 MAY 2011

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Standard Chartered Bank (Hong Kong) Limited  
Name of Transferee

Name and Address where notices to transferee  
should be sent:

21/F, Standard Chartered Tower,  
388 Kwun Tong Road, Hong Kong  
Phone: WM.Invest@sc.com  
Last Four Digits of Acct #: 8881

Name and Address where transferee payments  
should be sent (if different from above):

Phone: \_\_\_\_\_  
Last Four Digits of Acct #: \_\_\_\_\_

SHEN ZEHUA

Name of Transferor

Court Claim # (if known): 39713  
Amount of Claim: USD 80,000  
Date Claim Filed: 13 OCT 2009

Phone: \_\_\_\_\_  
Last Four Digits of Acct #: 2788

I declare under penalty of perjury that the information provided in this notice is true and correct to the best of my knowledge and belief.

By: \_\_\_\_\_

Transferee/Transferee's Agent

Date: \_\_\_\_\_

- 4 MAY 2011

*CHARTERED BANK FOR  
WEALTH MANAGEMENT  
CBHK*

*恒生财富管理有限公司*

*Penalty for making a false statement: Fine of up to \$500,000 or imprisonment for up to 5 years, or both. 18 U.S.C. §§ 152 & 3571.*